

Box Patent Application
 Commissioner of Patents
 and Trademarks
 Washington, DC 20231

NEW APPLICATION TRANSMITTAL

Transmitted herewith for filing is the patent application of

Inventor(s): Edlund et al.

WARNING: Patent must be applied for in the name(s) of all of the actual inventor(s). 37 CFR 1.41(a) and 1.53(b).

For (title): A System and Method for Scheduled Events to Subscribe to Live Information Topics

1. Type of Application

This new application is for a(n) (check one applicable item below):

- ☒ Utility
☐ Design
☐ Plant

NOTE: If one of the following 3 items apply them complete and attach ADDED PAGES FOR NEW APPLICATION TRANSMITTAL WHERE BENEFIT OF A PRIOR U.S. APPLICATION CLAIMED and a NOTIFICATION IN PARENT APPLICATION OF THE FILING OF THIS CONTINUATION APPLICATION.

- ☐ Divisional
☐ Continuation
☐ Continuation-in-part (CIP)

2. Benefit of Prior U.S. Application(s) (35 USC 120)

NOTE: If the new application being transmitted is a divisional, continuation or a continuation-in-part of a parent case, or where the parent case is an International Application which designated the U.S., then check the following item and complete and attach ADDED PAGES FOR NEW APPLICATION TRANSMITTAL WHERE BENEFIT OF PRIOR U.S. APPLICATION(S) CLAIMED.

- ☐ The new application being transmitted claims the benefit of prior U.S. application(s) and enclosed are ADDED PAGES FOR NEW APPLICATION TRANSMITTAL WHERE BENEFIT OF PRIOR U.S. APPLICATION(S) CLAIMED.

3. Papers Enclosed Which Are Required For Filing Date Under 37 CFR 1.53(b) (Regular) or 37 CFR 1.153 (Design) Application

- 10 Pages of Specification and Abstract
7 Pages of Claims

☐ Not Enclosed

☐ Application is made by a person authorized under 37 CFR 1.41(c) on behalf of all the above named inventor(s). (The declaration or oath, along with the surcharge required by 37 CFR 1.16(e) can be filed subsequently).

NOTE: It is important that all the correct inventor(s) are named for filing under 37 CFR 1.41(c) and 1.53(b).

☐ Showing that the filing is authorized. (Not required unless called into question. 37 CFR 1.41(d).

6. Inventorship Statement

WARNING: If the named inventors are each not the inventors of all the claims an explanation, including the ownership of the various claims at the time the last claimed invention was made, should be submitted.

The Inventorship for all the claims in this application are:

☒ the same

or

☐ are not the same. An explanation, including the ownership of the various claims at the time the last claimed invention was made.

☐ is submitted

☐ will be submitted

7. Language

☒ English

☐ non-English

☐ The attached translation is a verified translation. 37 CFR 1.52(d).

8. Assignment

☒ An assignment of the invention to INTERNATIONAL BUSINESS MACHINES CORPORATION

☒ is attached. A separate

☒ "COVER SHEET FOR ASSIGNMENT (DOCUMENT) ACCOMPANYING NEW PATENT APPLICATION" or
☐ FORM PTO 1595 is also attached.

☐ will follow.

NOTE: "If an assignment is submitted with a new application, send two separate letters-one for the application and one for the assignment." Notice of May 4, 1990 (1114 O.G. 77-78).

000000-9707550

9. Certified Copy (35 USC 119)

Certified copy(ies) of application(s):

| | | |
|-----------|--------------|---------|
| | | |
| (country) | (appln. no.) | (filed) |
| | | |
| (country) | (appln. no) | (filed) |

from which priority is claimed

 is/are attached.

 will follow.

10. Fee Calculation (37 CFR 1.16)

A. X Regular application

| CLAIMS AS FILED | | | | | | |
|-------------------------------------|----|------|------------|---|----------|--------------------|
| Number filed | | | Num. Extra | | Rate | Basic Fee \$690.00 |
| Total Claims | 21 | -20= | 1 | X | \$18.00 | \$18.00 |
| Independent Claims | 4 | -3= | 1 | X | \$78.00 | \$78.00 |
| Multiple dependent claim(s), if any | 0 | | | | \$260.00 | \$0.00 |

____ Amendment canceling extra claims enclosed.

— Amendment deleting multiple dependencies enclosed.

____ Fee for extra claims is not being paid at this time.

NOTE: If the fees for extra claims are not paid on filing, they must be paid or the claims cancelled by amendment, prior to the expiration of the time period set for response by the Patent and Trademark Office in any notice of fee deficiency. 37 CFR 1.16(d).

| | |
|-------------------------------|------------------|
| Filing Fee Calculation | \$ 786.00 |
|-------------------------------|------------------|

B. — Design application
 (\$310.00--37 CFR 1.16(f))
 Filing Fee Calculation

\$_____

C. — Plant application
(\$480.00--37 CFR 1.16(g))
Filing Fee Calculation

\$_____

11. Small Entity Statement(s)

☐ Verified Statement(s) that this is a filing by a small entity under 37 CFR 1.9 and 1.27 is/are attached.

Filing Fee Calculation (50% of A, B or C above) \$ _____

12. Request for International-Type Search (complete, if applicable)

☐ Please prepare an international-type search report for this application at the time when national examination on the merits takes place.

13. Fee Payment Being Made At This Time

☐ Not Enclosed

☐ No filing fee is to be paid at this time. (This and the surcharge required by 37 CFR 1.16(e) can be paid subsequently.)

☒ Enclosed

☒ basic filing fee \$ 786.00

☒ recording assignment
(\$40.00; 37 CFR 1.21(h)) \$ 40.00

☐ petition fee for filing by other than all the
inventors or person on behalf of the inventor
where inventor refused to sign or cannot be
reached. (\$130.00; 37 CFR 1.47 and 1.17(h)) \$ _____

☐ for processing an application with a
specification in a non-English language.
(\$130.00; 37 CFR 1.52(d) and 1.17(k)) \$ _____

☐ processing and retention fee
(\$130.00; 37 CFR 1.53(d) and 1.21(l)) \$ _____

☐ fee for international-type search report
(\$40.00; 37 CFR 1.21(e)) \$ _____

Total fees enclosed \$ 826.00

14. Method of Payment of Fees

☒ Check in the amount of \$ 826.00.

☐ Charge Account No. 12-0010 in the amount of \$ _____. A duplicate of this transmittal is attached.

RECEIVED: 032000

15. Authorization to Charge Additional Fees

WARNING: Accurately count claims, especially multiple dependent claims, to avoid unexpected high charges, if extra claim charges are authorized.

____ 37 CFR 1.16(a), (f) or (g) (filing fees)

____ 37 CFR 1.16(b), (c) or (d) (presentation of extra claims)

X Any deficiencies in the fees provided.

____ 37 CFR 1.16(e) (surcharge for filing the basic filing fee and/or declaration on a date later than the filing date of the application.)

____ 37 CFR 1.17 (application processing fees)

____ 37 CFR 1.18 (issue fee at or before mailing of Notice of Allowance, pursuant to 37 CFR 1.311(b)).

16. Instruction As To Overpayment

X credit Account No. 12-0010

_____ refund

Reg. No. 34,368
Tel. No. (703) 415-1015

G. W. Z. 2000

SIGNATURE OF APPLICANT'S REPRESENTATIVE
Randy W. Lacasse

Lacasse & Associates
2001 Jefferson Davis Hwy, Suite 806
Arlington, VA 22202

X Incorporation by reference of added pages

Check the following item if the application in this transmittal claims the benefit of prior U.S. application(s) (including an international application entering the U.S. stage as a continuation, divisional or C-I-P application) and complete and attach the ADDED PAGES FOR NEW APPLICATION TRANSMITTAL WHERE BENEFIT OF PRIOR U.S. APPLICATION(S) CLAIMED.

____ Plus added pages for new application transmittal where benefit of prior U.S. application(s) claimed.

Number of pages added _____

X Plus added pages for papers referred to in Item 4 above

Number of pages added 2 pages plus 2 references

X Plus "Assignment Cover Letter Accompanying New Application"

Number of pages added 3 pages

Statement Where No Further Pages Added

[illegible]